

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

Howard Gimbel and Marvin Davis,

v.

UBS Financial Services, Inc., F/K/A UBS
Painewebber, Inc., and Charles Nicky Bank,

)
)
)
)
)
)
)

FILED STAMP: JULY 30, 2008
08CV4319
No. JUDGE ZAGEL
Judge MAG. JUDGE NOLAN
J. N.

RULE 7.1 DISCLOSURE STATEMENT
NON-GOVERNMENTAL CORPORATE PARTIES

This Disclosure Statement is filed by defendant(s) : UBS Financial Services,
Inc., F/K/A UBS Painewebber, Inc., and Charles Nicky Bank

i) Identify all its parent corporations, if any;

UBS Financial Services is 100% owned by UBS Americas, Inc.

and ii) List any publicly held company that owns 10% or more of the party's stock:

UBS AG, a publicly owned company, owns 100% of the stock of UBS America, Inc.

Attorney's ECF signature: Christian T. Kemnitz Date: 07/30/08

Attorney's printed name: Christian T. Kemnitz

Address: 525 West Monroe Street
Chicago, IL 60661

Phone: (312) 902-5370 Fax number: (312) 577-8619